

COPY OF PAPERS  
ORIGINALLY FILED



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Rajiv Shah and Bahar Reghabi  
Examiner: Unassigned  
Serial No.: 10/035,918  
Group Art Unit: 1645  
Filed: December 28, 2001  
Docket: 047711-0293  
Title: METHOD FOR FORMULATING A GLUCOSE OXIDASE ENZYME WITH A DESIRED PROPERTY OR PROPERTIES AND A GLUCOSE OXIDASE ENZYME WITH THE DESIRED PROPERTY

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on April 15, 2002.

Amy T. Christensen

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal of Missing Parts with Certificate of Mailing thereon (in duplicate)
- Part 2 of Notice to File Missing Parts of Application
- Declaration (4 pages - signed by inventors Rajiv Shah and Bahar Reghabi)
- Assignment document (6 pages - signed by inventors Rajiv Shah and Bahar Reghabi)
- Assignment Recordation Cover Sheet (in duplicate)
- Request for Corrected Filing Receipt
- Checks in the amounts of \$1,284.00 and \$40.00
- Return postcard

Please charge any fees associated with this transmittal to Deposit Account No 50-0872. A duplicate of this sheet is enclosed.

Date: April 15, 2002

FOLEY & LARDNER  
2029 Century Park East - Suite 3500  
Los Angeles, CA 90067-3021  
1-310-277-2223 Office  
1-310-557-8475 Fax

By: \_\_\_\_\_  
Name: Ted R. Rittmaster  
Reg. No.: 32,933  
TRR/atc



COPY OF PAPERS  
ORIGINALLY FILED

Receipt 4  
JKT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 047711-0293

In re patent application of

Rajiv Shah, et al.

Group Art Unit: 1645

Serial No.: 10/035,918

Examiner: Unassigned

Filed: December 28, 2001

For: METHOD FOR FORMULATING A GLUCOSE OXIDASE ENZYME WITH A  
DESIRED PROPERTY OR PROPERTIES AND A GLUCOSE OXIDASE ENZYME  
WITH THE DESIRED PROPERTY

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, D.C. 20231

Commissioner:

It is respectfully requested that the correction marked in red on the attached copy of the  
Official Filing Receipt be entered and a new filing receipt issued.

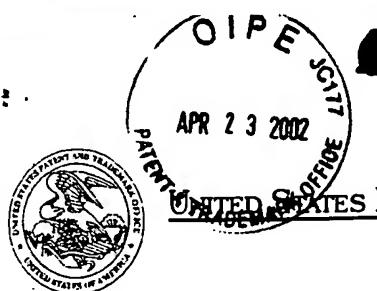
Respectfully submitted,

Ted R. Rittmaster  
Reg. No. 32,933

4/15/02

Date: April 15, 2002

Foley & Lardner  
2029 Century Park East, Suite 3500  
Los Angeles, CA 90067  
Telephone: (310) 277-2223  
Facsimile: (310) 557-8475



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/035,918	12/28/2001	1645	0.00	047711-0293	5	43	2

## CONFIRMATION NO. 2208

## FILING RECEIPT



\*OC00000007481964\*

Irvin C. Harrington, III  
FOLEY & LARDNER  
35th Floor  
2029 Century Park East  
Los Angeles, CA 90067-3021

**COPY OF PAPERS  
ORIGINALLY FILED**

Date Mailed: 02/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Rajiv Shah, Rancho Palos Verdes, CA;  
*Bahar* Bhar Reghabi, Los Angeles, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/335,585 10/23/2001

**Foreign Applications****If Required, Foreign Filing License Granted 02/14/2002****Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No**Title***glucose*

Method for formulating a glucose oxidase enzyme with a desired property or properties and a glucose oxidase enzyme with the desired property

**Preliminary Class**

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/035,918	12/28/2001	Rajiv Shah	047711-0293

Irvin C. Harrington, III  
FOLEY & LARDNER  
35th Floor  
2029 Century Park East  
Los Angeles, CA 90067-3021

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 2208

FORMALITIES LETTER



\*OC00000007481966\*

Date Mailed: 02/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$414.
  - \$414 for 23 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1284.

*A copy of this notice **MUST** be returned with the reply.*

*Linh C*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 YPLITE1 000000055 10035918  
888  
888  
740.00  
414.00  
130.00  
01 FC:101  
02 FC:103  
03 FC:105